

# Improving Patient Access during Phase 2-3 Design - Things to Consider –

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## BACKGROUND

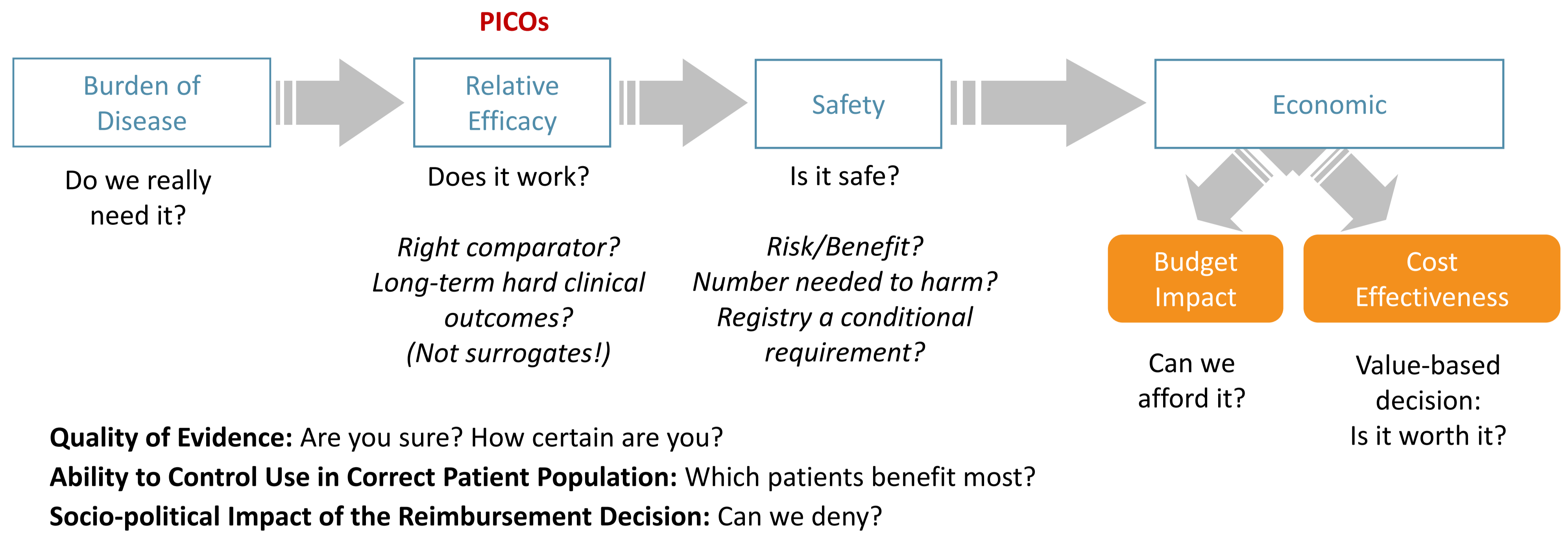
### HTA (Health Technology Assessment)/Patient Access

A multidisciplinary process that uses explicit methods to determine the value of a health technology at different points in its lifecycle. The purpose is to inform decision-making in order

- to determine the value of a health technology
- to provide guidance how these technologies can be used in health care systems
- to support the decision-making process at the policy level by providing evidence about given technologies

**ASK:** What are the health and cost consequences associated with your drug relative to what is already (reimbursed) in the market of country xy?

### HTA/Payer Requirements at Launch: Clinical Data Play a Huge Role



**GOALS & ASKS** from Payers are similar: However, **VARIABILITY** comes in through different Health care systems, Legal frameworks, PICO's, requirements (evidence, data, analyses methods), processes, etc.

## PATIENT ACCESS: EFFORTS NEEDED

### The PICO Framework

The PICO framework provides a standard format within HTA for the definition of a research question

**P (opulation):** the patients or population(s) in which the intervention under assessment should be used

**I (ntervention):** the therapeutic, diagnostic or preventive intervention under assessment (incl. setting)

**C (omparator):** the alternative intervention(s) against which the intervention under assessment should be compared

**O (utcomes):** the outcomes of interest (if relevant incl. minimum follow-up time)

see also: PSI HTA SIG Webinar: Estimands, PICO's and Co. - Are we losing or gaining in translation?  
<https://www.psiweb.org/vod/item/psi-hta-sig-webinar-estimands-picos-and-co.-are-we-losing-or-gaining-in-translation>

### Consequences for Patient Access: complementary evidence requirements - additional analyses & data sources

**Endpoint Level** – e.g.,

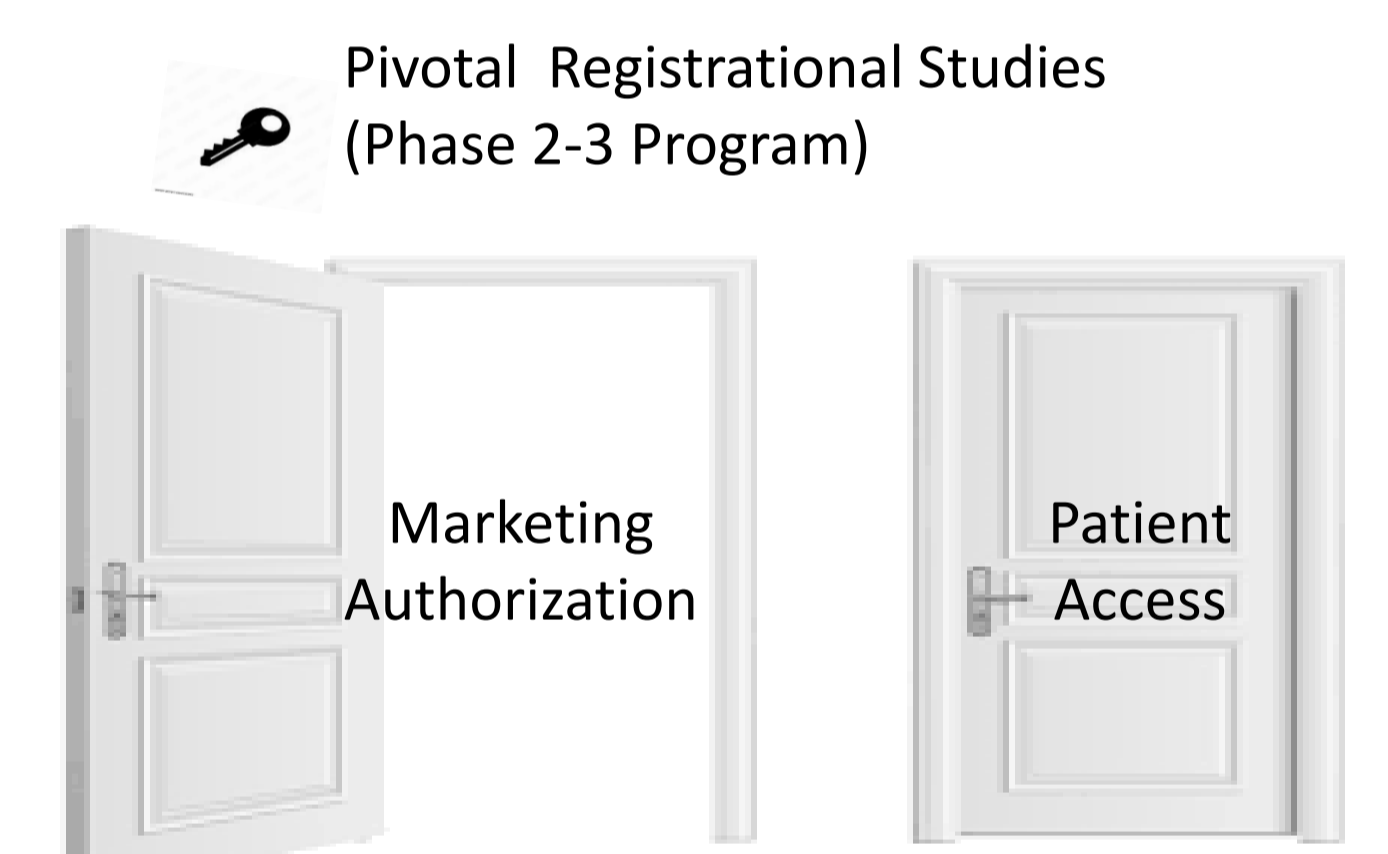
- **Adverse Events:** comparative analyses, all required categories of AE, specifically AEs by SOC/PT, AEs of special interest
- **Patient Reported Outcomes:** responder analyses (different thresholds) and/or continuous / time-to-event analyses

**Study Level** – e.g.,

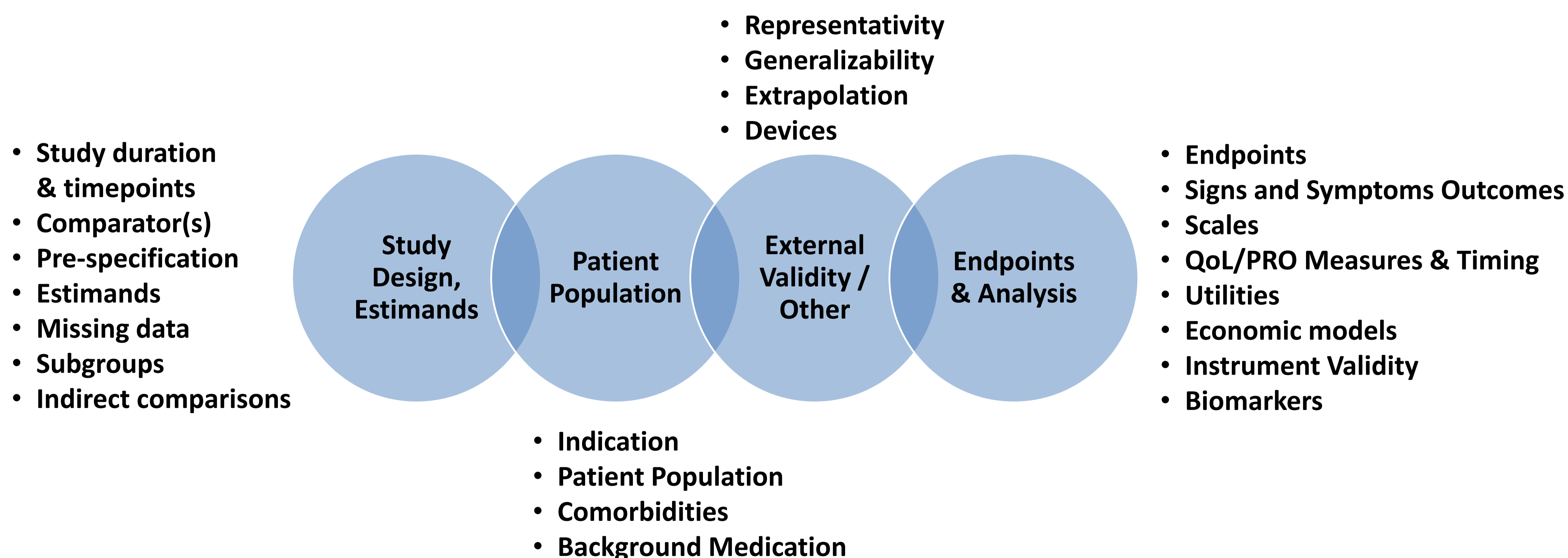
- **Subpopulations:** from PICO's
- **Subgroups:** additional subgroup analyses with interaction tests (efficacy & safety)
- **Sensitivity analyses**
- **Data Cuts:** additional timepoints

**Synthesis Level** – e.g.,

- **Meta-Analyses:** when more than one source of evidence is available, e.g., twin pivotal studies
- **Indirect Comparisons:** when comparator in study does not match PICO



## KEY TOPICS TO DISCUSS DURING PHASE 2-3 PLANNING



- Currently, many registrational Phase 3 Programs do not satisfy all evidentiary needs for HTA & Patient Access purposes
- Planning for Patient Access should complement the clinical development plans prior to start of Phase 2 and 3 studies
- Clinical and HTA Statisticians have the opportunity to optimize Phase 2-3 study designs and evidence planning, thus enabling coherent and efficient decision making by Regulators and HTA bodies.

**Abbreviations:**  
 AE = Adverse Event; HTA = Health Technology Assessment; PICO = Population, Intervention, Comparator, Outcome;  
 PRO = Patient Reported Outcomes; PT = Preferred Term; QoL = Quality of Life; SOC = System Organ Class.

Both authors are members of the PSI/EFSPi HTA Special Interest Group

Want to get involved in this and similar methodology discussions?  
 Join the PSI/EFSPi HTA Special Interest Group today  
 – scan the QR code or email [htasig@psiweb.org](mailto:htasig@psiweb.org)



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## --- SUPPLEMENTAL MATERIAL ---

### KEY TOPICS TO DISCUSS DURING PHASE 2-3 PLANNING - DETAILS

#### Study Design, Estimands

- **Study duration & timepoints**  
Are timepoints for measuring endpoints, minimal follow-up time sufficient for HTA purposes?
- **Comparator**  
Are active comparator arms included?  
If yes, are these the most relevant for patient access?
- **Pre-specification**  
Are secondary endpoints including PROs pre-specified, ,sufficiently' powered and hierarchically tested (depends on the HTA requirements for a given country)?
- **Estimands**  
Are estimands of most interest in HTA included and their impact on the study design appropriately considered, e.g. ensure availability of data after intercurrent events (treatment discontinuation, rescue medication)?
- **Missing data**  
Are measures in place ensuring completeness of data as best as possible?
- **Subgroups**  
Are the number of subgroups for regulatory purposes restricted to a minimum?
- **Indirect comparisons**  
Would trial designs (including endpoints) enable indirect comparisons with main competitors?  
What is sufficient and necessary to conduct network meta-analyses?

#### Patient Population(s)

- **Indication**  
Is the study design appropriate to achieve target indication goal in all/the majority of geographies?  
Could the target population differ from the population identified by EMA/FDA and for what reasons?
- **Patient Population I**  
Do inclusion / exclusion criteria reflect the draft label population & disease definition, as well as HTA-defined (sub-) populations?  
**Patient Population II**  
Is the race & ethnicity composition appropriate to enable patient access  
What is its impact on the feasibility of indirect comparisons?
- **Comorbidities**  
Are comorbidities relevant for the disease are included?
- **Background Medication**  
Is Background medication used in-label and according to clinical practice / guidelines?

#### Endpoints & Analysis

- **Endpoints**  
Where novel endpoints are used, is it ensured that ,traditional' endpoints can still be constructed to allow e.g., (indirect) comparisons to relevant older comparators?
- **Signs and Symptoms Outcomes**  
Are patients' signs and symptoms adequately captured, i.e., via accepted clinical disease measures (not surrogates)?
- **Scales**  
Are scales used in clinical practice included as well as key scales from competitor development studies?
- **QoL/PRO Outcomes & Timing**  
Are Patient reported QoL captured with sufficient power (at appropriate time points & follow up)?
- **Utilities**  
Are utilities needed for cost-effectiveness analyses (e.g., EQ-5D) collected?
- **(Early) economic models**  
Are all needs for cost-effectiveness models and associated evidence gaps identified and addressed?
- **Instrument Validity**  
Are instruments / measures (e.g., PROs) validated and accepted by HTA bodies?
- **Biomarkers**  
Is the use of biomarkers accepted (by HTA) and are there any conditions?

#### External Validity / Other

- **Representativity**  
Are a sufficient number of patients recruited in each geographical region / key markets?
- **Generalizability**  
Would RCT results be generalizable to individual country populations?  
Are local RWE data available and accessible to enable corresponding analyses?
- **Extrapolation**  
Is extrapolation to other populations accepted (by HTA) and under what conditions?
- **Devices**  
Are devices used in Phase 3 program same as later on after launch? If not, is this addressed from a patient access/ HTA perspective?

PSI HTA SIG Webinar: Estimands, PICO's and Co. - Are we losing or gaining in translation? [Video-on-Demand \(psiweb.org\)](https://www.psiweb.org)

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