

Moving towards harmonisation for (confirmatory) trials with an adaptive design

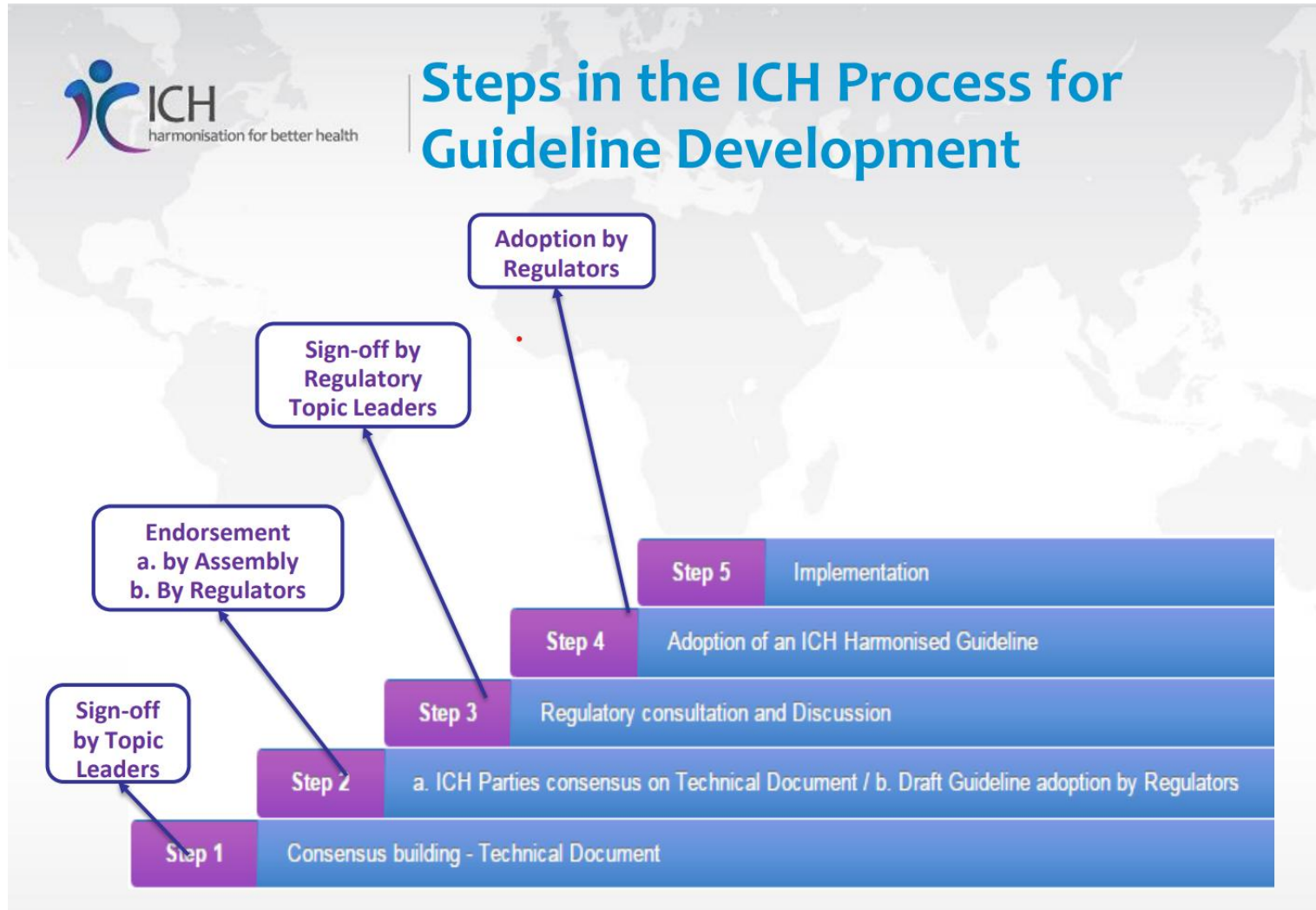
Frank Petavy (FP), and Khadija Rerhou Rantell (KR)
On behalf of the ICH E20 EWG

EFSPI Regulatory Workshop, 10th September 2025, Basel

About ICH

- Established in 1990, ICH aims to **harmonise the regulatory requirements** for pharmaceutical products around the globe. Initially constituted by members **from** Europe, Japan, and the US
 - Without a harmonised perspective among the different ICH regions, **sponsors and regulators would be limited** in their ability to build an efficient multi-regional prospective plan for drug development which incorporates these innovative designs.
- New topic proposed by an **ICH Member or Observer** for endorsement by the ICH Assembly
- Informal **Working Group** established that **develops a Concept Paper** to provide further context and define the objectives
- **Expert Working Group (EWG)** or Implementation Working Group established that develops a detailed Work Plan to constitute milestones and deadlines

ICH E20 harmonisation process



Source: ICH Official web site : ICH

Development of ICH20 guidelines occurs through a **transparent standardised operating procedure**

This document was developed based on a **Concept Paper** (Nov 2019)

This document was signed off as a **Step 2b 'draft'** document (May 2025) to be issued by the ICH **Regulatory** Members for public consultation.

Scope of ICH E20

Definition of an adaptive design:

*"For the purpose of this guideline, an adaptive design is defined as a clinical trial design that allows for **prospectively planned** modifications to one or more **aspects** of the trial based on interim analysis of accumulating data **from participants in the trial**."*

Focus is on **confirmatory** trials with an adaptive design.

In **vs** out of scope:

- Trials with **planned vs unplanned** modifications to the design.
- Design changes based on *interim analysis of accumulating data **from participants in the trial*** vs entirely on emerging information from a source **external to the trial**.
- Modifications of the **design or study conduct** (stopping for efficacy or futility) vs routine monitoring of **operational** aspects.

Key principles

- Adequacy within the development program
- Adequacy of trial planning
- Limiting the chances of erroneous conclusions
- Reliability of estimation
- Maintenance of trial integrity

*"All of these principles should be followed regardless of the type of adaptation and **statistical approach (e.g., frequentist or Bayesian methods)**."*

Consensus – Examples

Easily reached

- In general, **Section 4** about types of adaptation
 - Frequentist approaches for early trial stopping
 - Sample size adaptation (reassessment)
 - Population selection
 - Treatment selection
 - Adaptation to patient allocation

Challenging

- General requirement for Bayes in confirmatory trials vs specific to adaptive designs
- Focus of simulation studies on ‘erroneous conclusion or better experimental design?’
- As a principle, should we aim for simpler designs by breaking down the research question, or can any complex design be envisaged?
- Focus on experimental issues (e.g. placebo response in depression) and improving knowledge (e.g. better dose)?
- Efficiency of drug development coupled with efficiency of assessment?

Expectation from Public Consultation

All comments will be considered by the Expert Working Group

- **Please focus on**
 - content (principles, any ambiguity in the message, or gaps)
 - whether this matches your expectations from the agreed concept paper
 - whether the recommendations and level of detail are pitched at the right level
- **Please avoid** editorial comments *unless you think they obscure the meaning*
- **Please feel free to flag** anything that would benefit from inclusion in training materials for implementation
- **Specific for Bayesian methods:** do you see other applications beyond borrowing where Bayesian methods can be beneficially used with a metric to guarantee interpretability of trial outcome?

Note: Deadline for comment is 30 November 2025 (EMA and MHRA)

**Thank You.
Any Questions?**